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69432 7590 06/11/2010  
**WEITZMAN LAW OFFICES, LLC**  
**425 EAGLE ROCK AVENUE**  
**SUITE 102**  
**ROSELAND, NJ 07068**

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/863,148

05/22/2001

John A.C. Woodley

1064.018

1065

TITLE OF INVENTION: PORTFOLIO HEDGING METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

NO

\$1510

\$300

\$0

\$1810

09/13/2010

EXAMINER	ART UNIT	CLASS-SUBCLASS
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GRAHAM, CLEMENT B

3691

705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.163).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

**Weitzman Law Offices, LLC**

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

**Morgan Stanley**

**New York, NY**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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☐ Publication Fee (No small entity discount permitted)

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date 08-24-2010

Typed or printed name

**Kenneth S. Weitzman**

Registration No. 36306

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